

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Babak REZVANI et al.

Serial No. : 09/698,981

Filed: October 27, 2000

For : SERVICE BROKER FOR PROCESSING

DATA FROM A DATA NETWORK

Group Art Unit : 2155

Examiner : Philip B. Tran

Confirmation No. : 8295

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith information which they believe may be material to examination of this Application, in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §§ 1.56 and 1.97.

While this Information Disclosure Statement may be material pursuant to 37 C.F.R. § 1.56, it does not constitute an admission that the information referred to therein is "prior art" to Applicants' invention unless designated as such.

In accordance with 37 C.F.R. § 1.97, the filing of this Statement shall not be construed to be an admission that the information attached to this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

By this submission, Applicants also do not represent that a search has been made nor that better art does not exist.

Applicants reserve the right to contend, as appropriate, that any information asserted against any claim(s) of the captioned Application is not "prior art" according to the facts and the law.

Applicants further reserve the right to present appropriate arguments and/or evidence to establish patentability over such information, should any citation listed below be applied against any claim(s) of the captioned Application.

Applicants respectfully request that the Examiner, after considering the information set forth below, independently determine those citations which the Examiner would consider to be the most pertinent.

Copies of the following citations are submitted along with PTO Form-1449.

<u>Joao</u>, U.S. Patent No. 6,587,046, issued July 1, 2003: is directed to a monitoring apparatus and method.

<u>Joao</u>, U.S. Patent No. 6,549,130, issued April 15, 2003: relates to a control apparatus and method for vehicles and/or for premises.

<u>Joao</u>, U.S. Patent No. 6,542,077, issued April 1, 2003: concerns a monitoring apparatus for a vehicle and/or a premises.

<u>Joao</u>, U.S. Patent No. 6,542,076, issued April 1, 2003: discloses a control, monitoring and/or security apparatus and method.

Yokoyama et al., U.S. Patent No. 6,307,857, issued October 23, 2001: is directed to asynchronous transfer mode controller and ATM control method thereof and ATM communication control apparatus.

Mattaway et al., U.S. Patent No. 6,275,490, issued August 14, 2001: relates to a method and apparatus for establishing communications from browser application.

It is requested that the above be made of record in this Application.

Respectfully submitted,

Dated: October 11, 2005

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

On October 11, 2005

Name Grant E. Pollack

Fig. E. Miller

Signature

Attorney for Applicants

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|--|----------------------|----------------------|--|--------------|--|--|-------------|-----------|-----------------|------------------------------------|--------------|-------------------|---------------------------------------|---------|--|
| FORM PTO-144<br>(REV. 7-80)  | 2005                 |                      |  |              |  |  |             |           |                 | ATTY. DOCKET NO.<br>1061.1010      | .:           | SERIAL NO.:       | SERIAL NO.: 09/698,981                |         |  |
| LIST OF REFERENCES SITED BY APPLICANT (Use CAPPE heets if necessary) |                      |                      |  |              |  |  |             |           |                 | APPLICANT(S): Babak REZVANI et al. |              |                   |                                       |         |  |
|  |                      |                      |  |              |  |  |             |           |                 | FILING DATE: 10/27                 | GROUP: 215   | GROUP: 2155       |                                       |         |  |
|  |                      |                      |  | _            |  | _  |             |           | U.S. PATENT     | DOCUMENTS                          |              |                   |                                       |         |  |
| *EXAMINER<br>INITIAL   |                      | DOCUMENT NUMBER DATE |  |              |  |  |             |           |                 | NAME                               | CLASS        | SUBCLASS          | SUBCLASS FILING DATE (IF APPROPRIATE) |         |  |
|  |                      | 6                    | 5  | 8            | 7  | 0  | 4           | 6         | 07/01/2003      | Joao.                              | 340          | 539.14            |                                       |         |  |
|  | ·!                   | 6                    | 5  | 4            | 9  | 1  | 3           | 0         | 04/15/2003      | Joao                               | 340          | 539               |                                       |         |  |
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|  | !                    | 6                    | 5  | 4            | 2  | 0  | 7           | 6         | 04/01/2003      | Joao                               | 340          | 539               |                                       |         |  |
|  | !                    | 6                    | 3  | 0            | 7  | 8  | 5           | 7         | 10/23/2001      | Yokoyama et al.                    | 370          | 395               |                                       |         |  |
|  | !                    | 6                    | 2  | 7            | 5  | 4  | 9           | 0         | 08/14/2001      | Mattaway et al.                    | 370          | 352               |                                       |         |  |
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| ļ <u>.</u>   |                      |                      |  |              |  |  |             | F(        | OREIGN PATE     | NT DOCUMENTS                       |              | ·                 | T                                     |         |  |
| •  | DOCUMENT NUMBER DATE |                      |  |              |  |  |             |           | DATE            | COUNTRY                            | CLASS        | SUBCLASS          | TRANSLATIO                            | ON      |  |
|  |                      |                      |  |              |  |  |             |           |                 |                                    |              |                   | YES                                   | NO      |  |
|  |                      | $\sqcup$             | <u>                                     </u> | ₩'           | <u>                                     </u> | <u></u> —'                                   | $\sqcup'$   | <b></b>   | <u> </u> !      |                                    |              | <u> </u>          | <b></b>                               |         |  |
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| EXAMINER   |                      |                      |  |              |  |  |             |           |                 | DATE CONSIDERED                    |              |                   |                                       |         |  |
|  |                      |                      |  |              |  |  |             |           |                 | onformance with MPEP (             |              | ne through citati | on if not in                          |         |  |